

CS 445

Dynamic Programming

Some of the slides are courtesy of Charles Leiserson with small changes by Carola Wenk

Dynamic Programming: Example 1: Longest Common Subsequence

We look at sequences of characters (strings)

e.g. $x = "ABCA"$

Def: A **subsequence** of x is a sequence obtained from x by possibly deleting some of its characters (but without changing their order)

Examples:
"ABC", "ACA", "AA", "ABCA"

Def A **prefix** of x , denoted $x[1..m]$, is the sequence of the first m characters of x

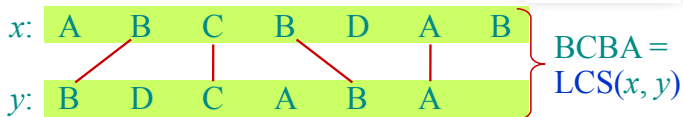
Examples:
 $x[1..4] = "ABCA"$ $x[1..3] = "ABC"$ $x[1..2] = "AB"$
 $x[1..1] = "A"$ $x[1..0] = ""$

Longest Common Subsequence (LCS) problem:

- Given two sequences $x[1..m]$ and $y[1..n]$, find a longest subsequence common to them both.

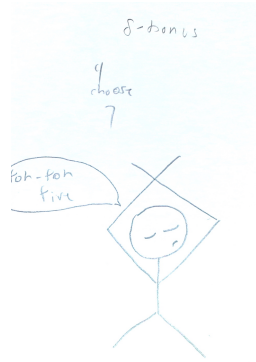


“a” not “the”



- Different phrasing: Find a set of a maximum number of segments, such that
- Each segment connects a character of x to an identical character of y ,
 - Each character is used at most once
 - Segments do not intersect.

Cs445 salute



Brute-force LCS algorithm

Checking every subsequence of x whether it is also a subsequence of y .

Analysis

- Checking = $\Theta(m+n)$ time per subsequence.
- 2^m subsequences of x

Worst-case running time = $\Theta((m+n)2^m)$
= exponential time.

Towards a better algorithm

Simplification:

1. Look at the *length* of a longest-common subsequence.
2. Extend the algorithm to find the LCS itself.

Notation: Denote the length of a sequence s by $|s|$.

Strategy: Consider *prefixes* of x and y .

- Define $c[i, j] = |\text{LCS}(x[1 \dots i], y[1 \dots j])|$.
- Then, $c[m, n] = |\text{LCS}(x, y)|$.

Recursive formulation

Observation:

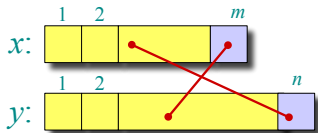
It is impossible that

$x[m]$ is matched to an element in $y[1..n-1]$ and simultaneously

$y[n]$ is matched to an element in $x[1..m-1]$
(since it must create a pair of crossing segments).

Conclusion – either $x[m]$ is matched to $y[n]$, or one at least of them is unmatched in **OPT**.

{**OPT** – the optimal solution}

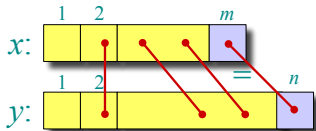


Recursive formula

Lets just consider the last character of of x and of y

Case (I): $x[m] = y[n]$. Claim: $c[m, n] = c[m-1, n-1] + 1$.

Proof.



We claim that there is a max matching that matches $x[m]$ to $y[n]$.

Indeed, if $x[m]$ is matched to $y[k]$ (for $k < n$) then $y[n]$ is unmatched (otherwise we have two crossing segments). Hence we can obtain another matching of the same cardinality by matching $x[m]$ to $y[n]$.

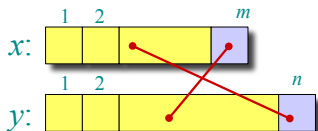
This implies that we can find an optimal matching of

$\text{LCS}(x[1..m-1], y[1..n-1])$, and add the segment $(x[m], y[n])$.
So $c[m, n] = c[m-1, n-1] + 1$

Recursive formulation-cont

Case (II): $x[m] \neq y[n]$ Claim: $c[m, n] = \max\{c[m, n-1], c[m-1, n]\}$

Recall - in $\text{LCS}(x[1..m], y[1..n])$ it cannot be that **both** $x[m]$ and $y[n]$ are both matched.



If $x[m]$ is unmatched in OPT then

$$\text{LCS}(x[1..m], y[1..n]) = \text{LCS}(x[1..m-1], y[1..n])$$

If $y[n]$ is unmatched in OPT then

$$\text{LCS}(x[1..m], y[1..n]) = \text{LCS}(x[1..m], y[1..n-1])$$

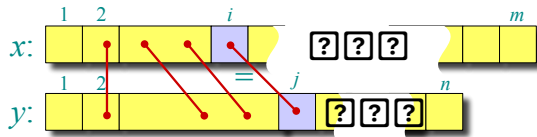
So $c[m, n] = \max\{c[m-1, n], c[m, n-1]\}$

$c[i,j]$ For general i,j

Since we only care for OPT matching the prefixes, then

Case (I): $x[i] = y[j]$.

Claim: if $x[i] = y[j]$ then $c[i,j] = c[i-1,j-1] + 1$.



We claim that there is a max matching that matches $x[i]$ to $y[j]$.

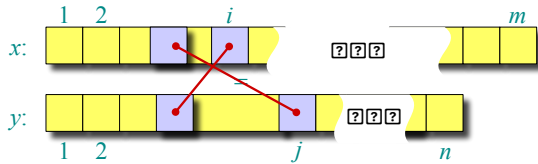
Indeed, if $x[i]$ is matched to $y[k]$ (for $k < j$) then $y[j]$ is unmatched (otherwise we have two crossing segments). Hence we can obtain another matching of the same cardinality by match $x[i]$ to $y[j]$.

This implies that we can match $x[1..i-1]$ to $y[1..j-1]$, and add the match $(x[i], y[j])$. So $c[i,j] = c[i-1,j-1] + 1$

Recursive formulation-cont

Case (II): if $x[i] \neq y[j]$ then $c[i,j] = \max\{c[i-1,j], c[i,j-1]\}$

Recall - in $\text{LCS}(x[1..i], y[1..j])$ it cannot be that both $x[i]$ and $y[j]$ are both matched.



If $x[i]$ is unmatched then

$$\text{LCS}(x[1..i], y[1..j]) = \text{LCS}(x[1..i-1], y[1..j])$$

If $y[j]$ is unmatched then

$$\text{LCS}(x[1..i], y[1..j]) = \text{LCS}(x[1..i], y[1..j-1])$$

So $c[i,j] = \max\{c[i-1,j], c[i,j-1]\}$

Dynamic-programming hallmark #1

Optimal substructure

An optimal solution to a problem (instance) contains optimal solutions to subproblems.

If $z = \text{LCS}(x, y)$, then any prefix of z is an LCS of a prefix of x and a prefix of y .

Recursive algorithm for LCS

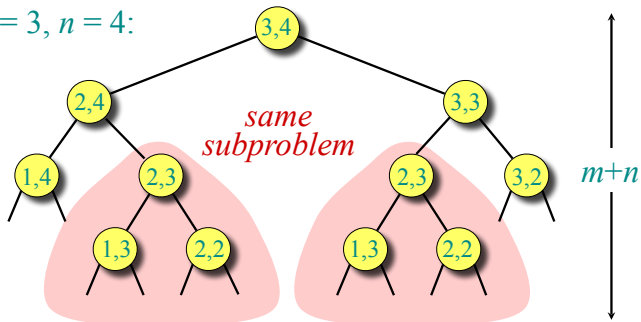
```
LCS(x, y, i, j)
if ( i==0 or j==0) return 0
if x[i] = y[j]
  then return LCS(x, y, i-1, j-1) + 1
else return max{LCS(x, y, i-1, j), LCS(x, y, i, j-1)}
```

To call the function $LCS(x, y, m, n)$

Worst-case: $x[i] \neq y[j]$, for all i, j in which case the algorithm evaluates two subproblems, each with only one parameter decremented.

Recursion tree

$m = 3, n = 4$:



Height = $m + n \Rightarrow$ work potentially 2^{m+n} exponential.
but we're solving subproblems already solved!

Dynamic-programming hallmark #2

Overlapping subproblems
A recursive solution contains a "small" number of distinct subproblems repeated many times.

The number of distinct LCS subproblems for two strings of lengths m and n is only mn .

Memoization algorithm

Memoization: After computing a solution to a subproblem, store it in a table. Subsequent calls check the table to avoid redoing work.

```
LCS(x, y)
for i=0 to m  c[i, 0] = 0
for j=0 to n  c[0, j] = 0

for i=1 to m
  for j=1 to n
    if (x[i] = y[j])
      then c[i, j] ← c[i-1, j-1] + 1
    else c[i, j] ← max{ c[i-1, j], c[i, j-1] }
```

Time = $\Theta(mn)$ = constant work per table entry.

Space = $\Theta(mn)$.

LCS: Dynamic-programming algorithm

LCS(X,Y) = "BCBA"

		1	2	3	4	5	6	7
	Y=	A	B	C	B	D	A	B
X=	0	0	0	0	0	0	0	0
1	B	0	0	1	1	1	1	1
2	D	0	0	1	1	2	2	2
3	C	0	0	1	2	2	2	2
4	A	0	1	1	2	2	2	3
5	B	0	1	2	2	3	3	4
6	A	0	1	2	2	3	4	4

X = B D C A B A

Y = A B C B D A B

Reconstruction $z=LCS(x,y)$

IDEA: Compute the table bottom-up. Fill z backward.

Observation: $c[i,j] \geq c[i-1,j]$ and $c[i,j] \geq c[i,j-1]$

Proof Sketch: We use a longer prefix, so there are more chars to be match.

LCS(x,y) = "BCBA"

x = B D C A B A

y = A B C B D A B

LCS Reconstruction:

Set $i=m$; $j=n$; $k=c[i,j]$

While($k>0$) {

if ($c[i,j]>c[i-1,j]$ and $c[i,j]>c[i,j-1]$) {

$z[k] = x[i]$;

$i--$; $j--$; $k--$;

} else // $c[i,j]=c[i-1,j]$ or $c[i,j]=c[i,j-1]$

if ($c[i,j]=c[i-1,j]$) $j--$;

else $i--$;

}

		1	2	3	4	5	6	7
	Y=	A	B	C	B	D	A	B
X=	0	0	0	0	0	0	0	0
1	B	0	0	1	1	1	1	1
2	D	0	0	1	1	2	2	2
3	C	0	0	1	2	2	2	2
4	A	0	1	1	2	2	3	3
5	B	0	1	2	2	3	3	4
6	A	0	1	2	2	3	4	4

Reconstructing $z=LCS(X,Y)$

Another idea – While filling $c[i,j]$, add arrows to each cell $c[i,j]$ specifying which neighboring cell $c[i,j]$ it got its value.

- $c[i,j].flag = \backslash$ “ if $c[i,j]=c[i-1,j-1]+1$
- $c[i,j].flag = \uparrow$ “ if $c[i,j]=c[i-1,j]$
- $c[i,j].flag = \leftarrow$ “ if $c[i,j]=c[i,j-1]$

		A	B	C	B	D	A	B
0	0	0	0	0	0	0	0	0
B	0	0	1	1	1	1	1	1
D	0	0	1	1	1	2	2	2
C	0	0	1	2	2	2	2	2
A	0	1	1	2	2	3	3	3
B	0	1	2	2	3	3	4	4
A	0	1	2	2	3	4	4	4

Example 2: Edit distance

Given strings X,Y , the **edit distance** $ed(X,Y)$ between X and Y is defined as the minimum number of operations that we need to perform on X , in order to obtain Y .

Defintion: An Operations (in this context) Insertion/Deletion/ Replacement of a **single** character.

Examples:

$ed(\text{"aaba"}, \text{"aaba"}) = 0$
 $ed(\text{"aaa"}, \text{"aaba"}) = 1$
 $ed(\text{"aaaa"}, \text{"abaa"}) = 1$
 $ed(\text{"baaa"}, \text{""}) = 4$
 $ed(\text{"baaa"}, \text{"aaab"}) = 2$

Note that the term “distance” is a bit misleading: We need both the **value** (how many operations) as well as knowing **which** operations.

Example 3': “Priced” Edit distance $ed(X,Y)$

Assume also given

$InsCost$ - the cost of a single **insertion** into x .
 $DelCost$ - the cost of a single **deletion** from x , and
 $RepCost$ - the cost of **replacing** one character of x by a different character.

Definition: Given strings X,Y , the **edit distance** $ed(X,Y)$ between X and Y is the cheapest sequence of operations, starting on X and ending at Y .

Problem: Compute $ed(X,Y)$, (both the value and the optimal sequence of operations.)

Definition: $c[i,j] = Cost(ed(X[l..i], Y[l..j]))$.

Will first compute $Cost(c[m,n])$. Then will recover the sequence.

Thm:

Let $c[i,j] = \text{ed}(x[1..i], y[1..j])$.

Assume $c[i-1,j-1], c[i-1,j-1], c[i-1,j]$ are already computed.

If $X[i]=Y[j]$ then $c[i,j] = c[i-1,j-1]$

Else // $X[i] \neq Y[j]$

```
 $c[i,j] = \min\{$   
   $c[i-1,j-1] + \text{RepCost}$ , //convert  $X[1..i-1] \rightarrow Y[1..j-1]$ , and replace  $y[j]$   
  by  $x[i]$   
   $c[i-1,j] + \text{DelCost}$ , //delete  $X[i]$  and convert  $X[1..i-1] \rightarrow Y[1..j]$   
   $c[i,j-1] + \text{InsCost}$  //convert  $X[1..i] \rightarrow Y[1..j-1]$ , and insert  $Y[j]$   
}
```

Algorithm

Memoization: After computing a solution to a subproblem, store it in a table. Subsequent calls check the table to avoid redoing work.

```
ed(X, Y)  
  for  $i=0$  to  $m$   $c[i, 0] = i \text{ DelCost}$   
  for  $j=0$  to  $n$   $c[0, j] = j \text{ InsCost}$   
  
  for  $i=1$  to  $m$   
    for  $j=1$  to  $n$   
      if  $(X[i] = Y[j])$   
        then  $c[i,j] \leftarrow c[i-1,j-1]$   
      else  $c[i,j] \leftarrow \min\{$   
         $c[i-1, j] + \text{DelCost}$ ,  
         $c[i-1, j-1] + \text{RepCost}$ ,  
         $c[i, j-1] + \text{InsCost}$   
      }
```

Time = $\Theta(mn)$ = constant work per table entry. Space = $\Theta(mn)$.

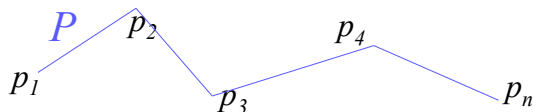
Homework: Compute the sequence of operations.

Compute which characters in x matches which chars in y .

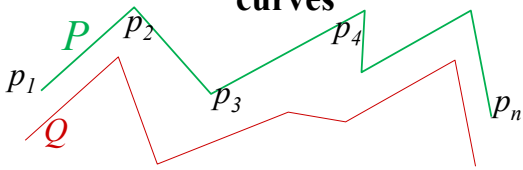
Polygonal Path - definition

We define a polygonal path $P = \{p_1 \dots p_n\}$ where

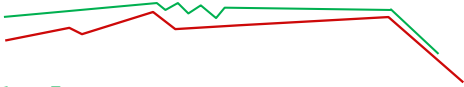
- Each vertex p_i is a point in the plane,
- Vertex p_1 is the first vertex, p_n is the last,
- Vertex p_i is connected to the next vertex p_{i+1} by a straight segment.



Good ways to measure distance between curves



- Should not be effected by how curves are sampled
- Should reflect the “order” of the points along the curves.

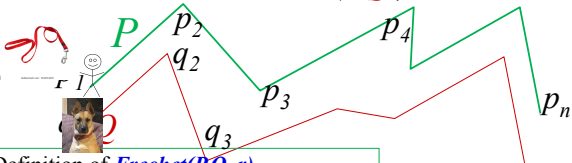


$P[1..i]$ is the polygonal line with the first i vertices of P

$Q[1..j]$ is the polygonal line with the first j vertices of Q

Problem: Computing the Frechet Distance between polylines

$Frechet(P, Q, r)$



Definition of $Frechet(P, Q, r)$

Assume a person walks on $P = \{p_1, \dots, p_n\}$

while a dog walks on $Q = \{q_1, \dots, q_n\}$.

r is the leash length (part of input).

The **person** starts at p_1 and ends at p_n

The **dog** starts at q_1 and ends at q_n

At each time stamp,

- either the **person** jumps to the next vertex
- Or the **dog** jumps to the next vertex
- Or **both** jumps to the next vertex

- Every instance they stop, we measure whether the distance between person \leftrightarrow dog (the length of the **leash**) $\leq r$.

- $Frechet(P, Q, r) = \text{YES}$ if the answer is positive for all time stamps.
- (if not, a longer leash is need. If yes, maybe a shorter one is sufficient.
- So we could use binary search.

Computing $Frechet(P, Q, r)$

$Frechet(P, Q, r)$

// $c[1..n, 1..n]$ – boolean array

// $c[i, j] = Frechet(P[1..i], Q[1..j], r)$

Init:

$c[1, 1] = (\|p_1 - q_1\| \leq r)$ (YES/NO)

For $i=2$ to n $c[i, 1] = (\|p_i - q_1\| \leq r)$ AND $c[i-1, 1]$ (YES/NO)

For $j=2$ to n $c[1, j] = (\|p_1 - q_j\| \leq r)$ AND $c[1, j-1]$

Computing Frechet (P,Q,r) (cont.)

// c[1..n, 1..n] – boolean array

Init- previous slide

For $i=2$ to n

For $j=2$ to n

$c[i,j] = (\|p_i - q_j\| \leq r)$ AND

{ $c[i-1,j-1]$, // both jumps

OR $c[i-1, j]$, // person jumped from p_{i-1} to p_i , dog stays at q_j

OR $c[i, j-1]$. // person stayed at p_i , dog jumped from q_{j-1} to q_j .

}

Return $c[n,n]$

Note – this is only the cost (that is the distance itself). We still need to find what is the series of steps that yield this cost

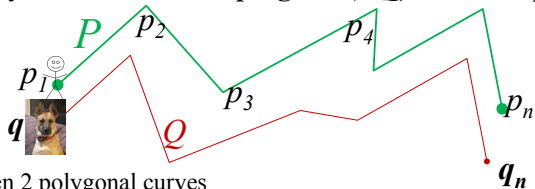
Comments

- This is actually the **Discrete** Frechet Distance (only distances between vertices counts). We do not discuss the **continuous** version.
- This is only the Decision problem – we actually want the shortest leash. We could use a binary search to approximate it. Exact algorithm outside the scope of this course
- If person/dog could move backward, the problem is called the **weak** Frechet.



Maurice René Fréchet

Problem: Computing Dynamic Time Warping $dtw(P,Q)$ between polylines



Given 2 polygonal curves

$P=\{p_1 \dots p_n\}$ and $Q=\{q_1 \dots q_m\}$,

The input is the locations of their vertices (e.g. GIS coordinates)

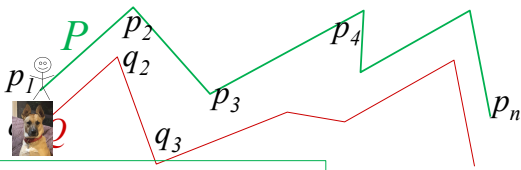
How similar are P to Q ?

Need to come up with a number $dtw(P,Q)$?

So if $dtw(P,Q) < dtw(P,Q')$, then P is more similar to Q



Dynamic Time Warping $dtw(P,Q)$



Definition of $dtw(P,Q)$

Assume a person walks on $P=\{p_1 \dots p_n\}$ while a dog walks on $Q=\{q_1 \dots q_m\}$.

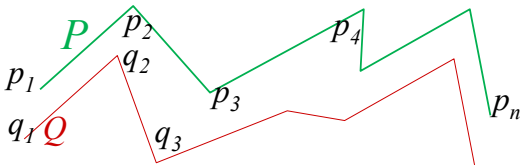
They **person** starts at p_1 and ends at p_n
They **dog** starts at q_1 and ends at q_n

At each time stamp,

- either the **person** jumps to the next vertex
- Or the **dog** jumps to the next vertex
- Or **both** jumps to the next vertex

- Every instance they stop, we measure the distance (the length of the **leash**) person \leftrightarrow dog.
- We sum the lengths of all leashes.
- $dtw(P,Q)$ is the smallest sum (over all possible sequences)

Motivation:



Definition of $dtw(P,Q)$

Assume a person walks on $P=\{p_1 \dots p_n\}$ while a dog walks on $Q=\{q_1 \dots q_m\}$.

Distance between trajectories enables finding nearest neighbor, and clustering

But two very similar trajectories might have vertices in very different places

DTW is used in

- Signal processing (speech reco)
- Signature verification
- Analysis of vehicles trajectories for roads networks
- **Improving locations-based services**
- **Animals migrations patters**
- Stocks analysis

Thm 1:

Let $c[i,j] = dtw(P[1..i], Q[1..j])$.

Let $\|p_i - q_j\|$ be the distance between the points p_i and q_j
That is, the length of the leash.

For every $i > 1, j > 1$

$$c[1,1] = \|p_1 - q_1\|$$

$$c[1,j] = c[1,j-1] + \|p_1 - q_j\|$$

$$c[i,1] = c[i-1,1] + \|p_i - q_1\|$$

Thm 2:

Assume at some time, the person is at p_i while dog at q_j .

Assume $i > 1$ and $j > 1$.

What (might have) happened one step ago ?

Three possibilities

Both person and the dog jumped (from p_{i-1} and from q_j) OR
Person jumped from p_{i-1} to p_i , dog stays at q_j OR
Person stayed at p_i , dog jumped from q_{j-1} to q_j .

Thm 2 cont:

Let $c[i,j] = \text{dtw}(P[1..i], Q[1..j])$.

If $i > 1$ and $j > 1$ then

```
 $c[i,j] = \|p_i - q_j\| +$   
   $\min\{$   
     $c[i-1,j-1], // \text{both jumps}$   
     $c[i-1,j], // \text{person jumped from } p_{i-1} \text{ to } p_i, \text{ dog stays at } q_j$   
     $c[i,j-1]. // \text{person stayed at } p_i, \text{ dog jumped from } q_{j-1} \text{ to } q_j.$   
   $\}$ 
```

Since we are not sure that when the person is at p_i the dog is at q_j we will compute all such pairs i,j – one of them must happened

Algorithm for computing dtw(P,Q)

Init according to Thm 1.

For $i=2$ to n

For $j=2$ to n

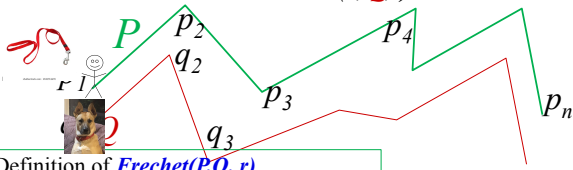
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Return $c[n,n]$

Note – this is only the cost (that is the distance itself. We still need to find what is the series of steps that yield this cost

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For $i=2$ to n $c[i,1] = (\|p_i - q_1\| \leq r)$ AND $c[i-1,1]$ (YES/NO)

For $j=2$ to n $c[1,j] = (\|p_1 - q_j\| \leq r)$ AND $c[1,j-1]$

Computing Frechet (P,Q,r) (cont.)

// c[1..n, 1..n] – boolean array

Init- previous slide

For $i=2$ to n

For $j=2$ to n

$c[i,j] = (\|p_i - q_j\| \leq r)$ AND

{ $c[i-1,j-1]$, // both jumps

OR $c[i-1,j]$, // person jumped from p_{i-1} to p_i , dog stays at q_j

OR $c[i,j-1]$. // person stayed at p_i , dog jumped from q_{j-1} to q_j .

}

Return $c[n,n]$

Note – this is only the cost (that is the distance itself. We still need to find what is the series of steps that yield this cost

Comments

- This is actually the **Discrete** Frechet Distance (only distances between vertices counts). We do not discuss the **continuous** version.
- This is only the Decision problem – we actually want the shortest leash. We could use a binary search to approximate it. Exact algorithm outside the scope of this course
- If person/dog could move backward, the problem is called the **weak** Frechet.



Maurice René Fréchet

Dynamic-programming hallmark #1

(we saw this slide already)

Optimal substructure

An optimal solution to a problem (instance) contains optimal solutions to subproblems.

If $z = \text{LCS}(x, y)$, then any prefix of z is an LCS of a prefix of x and a prefix of y .

Dynamic-programming hallmark #2

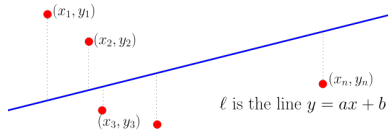
Overlapping subproblems

A recursive solution contains a “small” number of distinct subproblems repeated many times.

The number of distinct LCS subproblems for two strings of lengths m and n is only mn .

Another application of DP: Clustering

(source: Kleinberg & Tardos 6.3)



- Given points $P = \{(x_1, y_1), (x_2, y_2), \dots, (x_n, y_n)\}$ find a line minimizing $Err(\ell, P)$

The fitting error

$$Err(\ell, P) = \sum_{i=1}^n (y_i - ax_i - b)^2$$

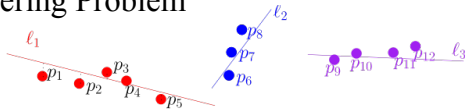
- that is, the sum of squares of vertical distances from each (x_i, y_i) to ℓ .

- Solution

$$a = \frac{n \sum x_i y_i - (\sum x_i)(\sum y_i)}{n \sum x_i^2 - (\sum x_i)^2}$$

$$b = \frac{\sum y_i - a \sum x_i}{n}$$

Clustering Problem



Given a point set $P = \{p_1 \dots p_n\}$ sorted from left to right, and a cluster penalty $R > 0$.
Problem: Find a partition of P into runs (clusters)

$$(p_1, p_2 \dots p_{i_1}), (p_{i_1+1}, p_{i_1+2} \dots p_{i_2}), \dots, (p_{i_{k-1}+1}, p_{i_{k-1}+2} \dots p_n)$$

and lines ℓ_1, \dots, ℓ_k such that the total clustering cost, $tct(\{p_1 \dots p_n\})$ is as small as possible. We define the total clustering cost, $tct(\{p_1 \dots p_i\})$ the sum of k penalties ($k \cdot R$), plus the sum of the fitting errors between the points in each cluster and the line the fit them best;

$tct(\{p_1 \dots p_n\})$ is defined as the value

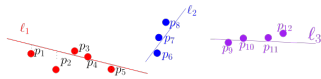
$$R + Err(\ell_1, \{p_1, p_2, \dots, p_{i_1}\}) + R + Err(\ell_2, \{p_{i_1+1}, p_{i_1+2}, \dots, p_{i_2}\}) + \dots + R + Err(\ell_k, \{p_{i_{k-1}+1}, p_{i_{k-1}+2}, \dots, p_n\})$$

Note that if $R = 0$ (no penalty on new clusters) then the optimum clustering uses $\frac{n}{2}$ runs:

$(p_1, p_2), (p_3, \dots, p_4), \dots, (p_{n-1}, \dots, p_n)$. If R is huge, then the opt uses only one cluster, containing all the points.

In the example on top, $k = 3$, $i_1 = 5$, $i_2 = 8$

Algorithm



- Preprocessing: for every pair of i and j (where $j < i$) compute the line $\ell_{j,i}$ that best fit the points $\{p_j, p_{j+1}, p_{j+2}, \dots, p_i\}$. Store in a table the value $e[j, i] = Err(\ell_{j,i}, \{p_j, p_{j+1}, \dots, p_i\})$
- Let $c[i]$ = cost of the cost of the opt clustering of the points $\{p_1, \dots, p_i\}$. This term includes both the sum of errors and the sum of penalties. At the i 'th step of the algorithm, we assume that $c[0], c[1], c[2], \dots, c[i-1]$ are already computed, and using these values, we will compute $c[i]$.
- We will also use an array $\Pi[1..n]$ its role is similar to the value $\Pi[v]$ in Dijkstra alg'.

Algorithm:

- Init: $c[0]=0$; $c[i] = \infty$ and $\Pi[i] = NULL$, for every $i > 1$; $\Pi[i] = NULL$
- For $i=2$ to n do {
 - For $j=0$ to $i-1$
 - If $c[i] > c[j] + R + e[j+1, i]$ then
 - $c[i] = c[j] + R + e[j+1, i]$
 - $\Pi[i] = j$ // The rightmost point in the previous cluster.
- Return $c[0]=n$

Idea: p_i must belong to a cluster. We pay R for this cluster. The inner loop finds what is the best point p_{j+1} to be the leftmost point of this cluster.

Summarizing

- The algorithm takes $O(n^3)$ and $O(n^2)$ space
- (for preprocessing $d[j,i]$)
- Note – we did not discuss how to reconstruct the solution itself. We only calculated its cost
