

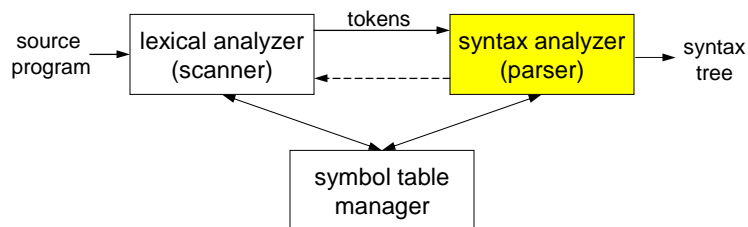
# CSc 453

## Syntax Analysis (Parsing)

Saumya Debray  
*The University of Arizona*  
*Tucson*



## Overview



**Main Task:** Take a token sequence from the scanner and verify that it is a syntactically correct program.

**Secondary Tasks:**

- Process declarations and set up symbol table information accordingly, in preparation for semantic analysis.
- Construct a syntax tree in preparation for intermediate code generation.

## Context-free Grammars

- A *context-free grammar* for a language specifies the syntactic structure of programs in that language.
- Components of a grammar:
  - a finite set of tokens (obtained from the scanner);
  - a set of variables representing “related” sets of strings, e.g., *declarations*, *statements*, *expressions*.
  - a set of rules that show the structure of these strings.
  - an indication of the “top-level” set of strings we care about.

CSc 453: Syntax Analysis

3

## Context-free Grammars: Definition

Formally, a context-free grammar  $G$  is a 4-tuple  $G = (V, T, P, S)$ , where:

- $V$  is a finite set of variables (or nonterminals). These describe sets of “related” strings.
- $T$  is a finite set of terminals (i.e., tokens).
- $P$  is a finite set of productions, each of the form
$$A \rightarrow \alpha$$
where  $A \in V$  is a variable, and  $\alpha \in (V \cup T)^*$  is a sequence of terminals and nonterminals.
- $S \in V$  is the start symbol.

CSc 453: Syntax Analysis

4

## Context-free Grammars: An Example

A grammar for palindromic bit-strings:

$G = (V, T, P, S)$ , where:

- $V = \{S, B\}$
- $T = \{0, 1\}$
- $P = \{S \rightarrow B,$   
 $S \rightarrow \epsilon,$   
 $S \rightarrow 0S0,$   
 $S \rightarrow 1S1,$   
 $B \rightarrow 0,$   
 $B \rightarrow 1$   
 $\}$

CSc 453: Syntax Analysis

5

## Context-free Grammars: Terminology

- **Derivation:** Suppose that
  - $\alpha$  and  $\beta$  are strings of grammar symbols, and
  - $A \rightarrow \gamma$  is a production.Then,  $\alpha A \beta \Rightarrow \alpha \gamma \beta$  (" $\alpha A \beta$  derives  $\alpha \gamma \beta$ ").

- $\Rightarrow$  : "derives in one step"

$\Rightarrow^*$  : "derives in 0 or more steps"

$\alpha \Rightarrow^* \alpha$  (0 steps)

$\alpha \Rightarrow^* \beta$  if  $\alpha \Rightarrow \gamma$  and  $\gamma \Rightarrow^* \beta$  ( $\geq 1$  steps)

CSc 453: Syntax Analysis

6

## Derivations: Example

- Grammar for palindromes:  $G = (V, T, P, S)$ ,
  - $V = \{S\}$ ,
  - $T = \{0, 1\}$ ,
  - $P = \{S \rightarrow 0S0 \mid 1S1 \mid 0 \mid 1 \mid \epsilon\}$ .
- A derivation of the string 10101:

S

$\Rightarrow 1S1$  (using  $S \rightarrow 1S1$ )

$\Rightarrow 10S01$  (using  $S \rightarrow 0S0$ )

$\Rightarrow 10101$  (using  $S \rightarrow 1$ )

CSc 453: Syntax Analysis

7

## Leftmost and Rightmost Derivations

- A *leftmost derivation* is one where, at each step, the leftmost nonterminal is replaced.  
(analogous for *rightmost derivation*)
- **Example:** a grammar for arithmetic expressions:

$E \rightarrow E + E \mid E * E \mid \text{id}$

- *Leftmost derivation:*

$E \Rightarrow E * E \Rightarrow E + E * E \Rightarrow \text{id} + E * E \Rightarrow \text{id} + \text{id} * E \Rightarrow \text{id} + \text{id} * \text{id}$

- *Rightmost derivation:*

$E \Rightarrow E + E \Rightarrow E + E * E \Rightarrow E + E * \text{id} \Rightarrow E + \text{id} * \text{id} \Rightarrow \text{id} + \text{id} * \text{id}$

CSc 453: Syntax Analysis

8

## Context-free Grammars: Terminology

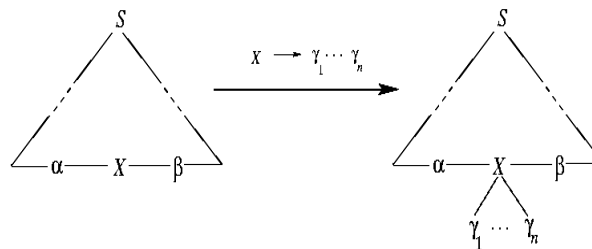
- The language of a grammar  $G = (V, T, P, S)$  is  $L(G) = \{ w \mid w \in T^* \text{ and } S \Rightarrow^* w \}$ .  
The language of a grammar contains only strings of terminal symbols.
- Two grammars  $G_1$  and  $G_2$  are equivalent if  $L(G_1) = L(G_2)$ .

CSc 453: Syntax Analysis

9

## Parse Trees

- A parse tree is a tree representation of a derivation.
- Constructing a parse tree:
  - The root is the start symbol  $S$  of the grammar.
  - Given a parse tree for  $\alpha X \beta$ , if the next derivation step is  $\alpha X \beta \Rightarrow \alpha \gamma_1 \dots \gamma_n \beta$  then the parse tree is obtained as:



CSc 453: Syntax Analysis

10

## Approaches to Parsing

---

- Top-down parsing:
  - attempts to figure out the derivation for the input string, starting from the start symbol.
- Bottom-up parsing:
  - starting with the input string, attempts to “derive in reverse” and end up with the start symbol;
  - forms the basis for parsers obtained from parser-generator tools such as yacc, bison.

## Top-down Parsing

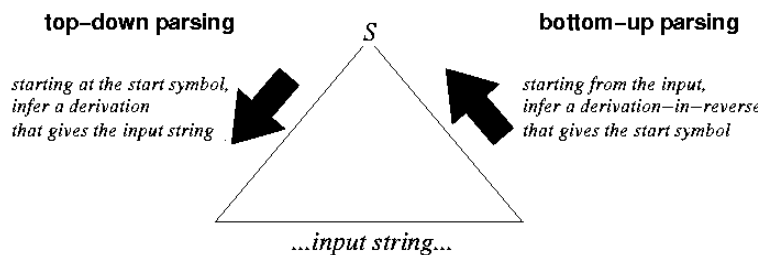
---

- “top-down:” starting with the start symbol of the grammar, try to derive the input string.
- Parsing process: use the current state of the parser, and the next input token, to guide the derivation process.
- Implementation: use a finite state automaton augmented with a runtime stack (“*pushdown automaton*”).

## Bottom-up Parsing

- “bottom-up:” work backwards from the input string to obtain a derivation for it.
- Parsing process: use the parser state to keep track of:
  - what has been seen so far, and
  - given this, what the rest of the input might look like.
- Implementation: use a finite state automaton augmented with a runtime stack (“*pushdown automaton*”).

## Parsing: Top-down vs. Bottom-up



## Parsing Problems: Ambiguity

- A grammar  $G$  is ambiguous if some string in  $L(G)$  has more than one parse tree.
- Equivalently: if some string in  $L(G)$  has more than one leftmost (rightmost) derivation.
- Example: The grammar  
$$E \rightarrow E + E \mid E * E \mid \text{id}$$
is ambiguous, since “id+id\*id” has multiple parses:



CSc 453: Syntax Analysis

15

## Dealing with Ambiguity

1. Transform the grammar to an equivalent unambiguous grammar.
2. Use disambiguating rules along with the ambiguous grammar to specify which parse to use.

Comment: It is not possible to determine algorithmically whether:

- Two given CFGs are equivalent;
- A given CFG is ambiguous.

CSc 453: Syntax Analysis

16



## Removing Ambiguity: Operators

- Basic idea: use additional nonterminals to enforce associativity and precedence:
  - Use one nonterminal for each precedence level:
    - $E \rightarrow E * E \mid E + E \mid \text{id}$   
needs 2 nonterminals (2 levels of precedence).
  - Modify productions so that “lower precedence” nonterminal is in direction of precedence:  
 $E \rightarrow E + E \Rightarrow E \rightarrow E + T$  (+ is left-associative)

## Example

- Original grammar:

$E \rightarrow E * E \mid E / E \mid E + E \mid E - E \mid ( E ) \mid \text{id}$

precedence levels:  $\{ *, / \} > \{ +, - \}$

associativity:  $*$ ,  $/$ ,  $+$ ,  $-$  are all left-associative.

- Transformed grammar:

$E \rightarrow E + T \mid E - T \mid T$  (precedence level for:  $+$ ,  $-$ )

$T \rightarrow T * F \mid T / F \mid F$  (precedence level for:  $*$ ,  $/$ )

$F \rightarrow ( E ) \mid \text{id}$

## Bottom-up parsing: Approach

1. Preprocess the grammar to compute some info about it.  
(FIRST and FOLLOW sets)
2. Use this info to construct a pushdown automaton for the grammar:
  - the automaton uses a table (“parsing table”) to guide its actions;
  - constructing a parser amounts to constructing this table.

## FIRST Sets

**Defn:** For any string of grammar symbols  $\alpha$ ,

- $\text{FIRST}(\alpha) = \{ a \mid a \text{ is a terminal and } \alpha \Rightarrow^* a\beta \}$ .
- if  $\alpha \Rightarrow^* \epsilon$  then  $\epsilon$  is also in  $\text{FIRST}(\alpha)$ .

- **Example:**  $E \rightarrow TE'$   
 $E' \rightarrow +TE' \mid \epsilon$   
 $T \rightarrow FT'$   
 $T' \rightarrow *FT' \mid \epsilon$   
 $F \rightarrow (E) \mid \text{id}$

$\text{FIRST}(E) = \text{FIRST}(T) = \text{FIRST}(F) = \{ (, \text{id} \}$

$\text{FIRST}(E') = \{ +, \epsilon \}$

$\text{FIRST}(T') = \{ *, \epsilon \}$

## Computing FIRST Sets

Given a sequence of grammar symbols  $A$ :

- **if**  $A$  is a terminal or  $A = \epsilon$  **then**  $\text{FIRST}(A) = \{A\}$ .
- **if**  $A$  is a nonterminal with productions  $A \rightarrow \alpha_1 \mid \dots \mid \alpha_n$  **then**:
  - $\text{FIRST}(A) = \text{FIRST}(\alpha_1) \cup \dots \cup \text{FIRST}(\alpha_n)$ .
- **if**  $A$  is a sequence of symbols  $Y_1 \dots Y_k$  **then**:
  - **for**  $i = 1$  to  $k$  **do**:
    - add each  $a \in \text{FIRST}(Y_i)$ , such that  $a \neq \epsilon$ , to  $\text{FIRST}(A)$ .
    - **if**  $\epsilon \in \text{FIRST}(Y_i)$  **then** break;
  - **if**  $\epsilon$  is in each of  $\text{FIRST}(Y_1), \dots, \text{FIRST}(Y_k)$  **then** add  $\epsilon$  to  $\text{FIRST}(A)$ .

## Computing FIRST sets: cont'd

- For each nonterminal  $A$  in the grammar, initialize  $\text{FIRST}(A) = \emptyset$ .
- **repeat** {
  - for each nonterminal  $A$  in the grammar {
    - compute  $\text{FIRST}(A)$ ; /\* as described previously \*/}
- } **until** there is no change to any FIRST set.

## Example (FIRST Sets)

$X \rightarrow YZ \mid \mathbf{a}$

$Y \rightarrow \mathbf{b} \mid \varepsilon$

$Z \rightarrow \mathbf{c} \mid \varepsilon$

- $X \rightarrow \mathbf{a}$ , so add  $\mathbf{a}$  to  $\text{FIRST}(X)$ .
- $X \rightarrow YZ$ ,  $\mathbf{b} \in \text{FIRST}(Y)$ , so add  $\mathbf{b}$  to  $\text{FIRST}(X)$ .
- $Y \rightarrow \varepsilon$ , i.e.  $\varepsilon \in \text{FIRST}(Y)$ , so add non- $\varepsilon$  symbols from  $\text{FIRST}(Z)$  to  $\text{FIRST}(X)$ .
  - ▶ add  $\mathbf{c}$  to  $\text{FIRST}(X)$ .
- $\varepsilon \in \text{FIRST}(Y)$  and  $\varepsilon \in \text{FIRST}(Z)$ , so add  $\varepsilon$  to  $\text{FIRST}(X)$ .

Final:  $\text{FIRST}(X) = \{ \mathbf{a}, \mathbf{b}, \mathbf{c}, \varepsilon \}$ .

CSc 453: Syntax Analysis

23

## FOLLOW Sets

Definition: Given a grammar  $G = (V, T, P, S)$ ,  
for any nonterminal  $A \in V$ :

- $\text{FOLLOW}(A) = \{ \mathbf{a} \in T \mid S \Rightarrow^* \alpha A \mathbf{a} \beta \text{ for some } \alpha, \beta \}$ .  
i.e.,  $\text{FOLLOW}(A)$  contains those terminals that can appear after  $A$  in something derivable from the start symbol  $S$ .
- if  $S \Rightarrow^* \alpha A$  then  $\$$  is also in  $\text{FOLLOW}(A)$ .  
( $\$ \equiv \text{EOF}$ , "end of input.")

Example:

$E \rightarrow E + E \mid \text{id}$

$\text{FOLLOW}(E) = \{ +, \$ \}$ .

CSc 453: Syntax Analysis

24

## Computing FOLLOW Sets

Given a grammar  $G = (V, T, P, S)$ :

1. add  $\$$  to  $\text{FOLLOW}(S)$ ;
  2. **repeat** {
    - for each production  $A \rightarrow \alpha B \beta$  in  $P$ , add every non- $\epsilon$  symbol in  $\text{FIRST}(\beta)$  to  $\text{FOLLOW}(B)$ .
    - for each production  $A \rightarrow \alpha B \beta$  in  $P$ , where  $\epsilon \in \text{FIRST}(\beta)$ , add everything in  $\text{FOLLOW}(A)$  to  $\text{FOLLOW}(B)$ .
    - for each production  $A \rightarrow \alpha B$  in  $P$ , add everything in  $\text{FOLLOW}(A)$  to  $\text{FOLLOW}(B)$ .
- } **until** no change to any FOLLOW set.

CSc 453: Syntax Analysis

25

## Example (FOLLOW Sets)

$$\begin{aligned} X &\rightarrow YZ \mid a \\ Y &\rightarrow b \mid \epsilon \\ Z &\rightarrow c \mid \epsilon \end{aligned}$$

- $X$  is start symbol: add  $\$$  to  $\text{FOLLOW}(X)$ ;
- $X \rightarrow YZ$ , so add everything in  $\text{FOLLOW}(X)$  to  $\text{FOLLOW}(Z)$ .  
▶ add  $\$$  to  $\text{FOLLOW}(Z)$ .
- $X \rightarrow YZ$ , so add every non- $\epsilon$  symbol in  $\text{FIRST}(Z)$  to  $\text{FOLLOW}(Y)$ .  
▶ add  $c$  to  $\text{FOLLOW}(Y)$ .
- $X \rightarrow YZ$  and  $\epsilon \in \text{FIRST}(Z)$ , so add everything in  $\text{FOLLOW}(X)$  to  $\text{FOLLOW}(Y)$ .  
▶ add  $\$$  to  $\text{FOLLOW}(Y)$ .

CSc 453: Syntax Analysis

26

## Shift-reduce Parsing

- An instance of bottom-up parsing
- Basic idea: repeat
  1. in the string being processed, find a substring  $\alpha$  such that  $A \rightarrow \alpha$  is a production;
  2. replace the substring  $\alpha$  by  $A$  (i.e., reverse a derivation step).until we get the start symbol.
- Technical issues: Figuring out
  1. which substring to replace; and
  2. which production to reduce with.

CSc 453: Syntax Analysis

27

## Shift-reduce Parsing: Example

Grammar:  $S \rightarrow aABe$   
 $A \rightarrow Abc \mid b$   
 $B \rightarrow d$

Input: **abcde** (using  $A \rightarrow b$ )  
 $\Rightarrow$  **aAbcde** (using  $A \rightarrow Abc$ )  
 $\Rightarrow$  **aAde** (using  $B \rightarrow d$ )  
 $\Rightarrow$  **aABe** (using  $S \rightarrow aABe$ )  
 $\Rightarrow$  **S**

CSc 453: Syntax Analysis

28

## Shift-Reduce Parsing: cont'd

---

- Need to choose reductions carefully:  
     $abcde \Rightarrow aAbcde \Rightarrow aAbcBe \Rightarrow \dots$   
    doesn't work.
- A *handle* of a string  $s$  is a substring  $\alpha$  s.t.:
  - $\alpha$  matches the RHS of a rule  $A \rightarrow \alpha$ ; and
  - replacing  $\alpha$  by  $A$  (the LHS of the rule) represents a step in the reverse of a rightmost derivation of  $s$ .
- For shift-reduce parsing, reduce only handles.

CSc 453: Syntax Analysis

29

## Shift-reduce Parsing: Implementation

---

- Data Structures:
  - a stack, its bottom marked by '\$'. Initially empty.
  - the input string, its right end marked by '\$'. Initially  $w$ .
- Actions:  
    **repeat**
  1. *Shift* some ( $\geq 0$ ) symbols from the input string onto the stack, until a handle  $\beta$  appears on top of the stack.
  2. *Reduce*  $\beta$  to the LHS of the appropriate production.**until** ready to accept.
  - Acceptance: when input is empty and stack contains only the start symbol.

CSc 453: Syntax Analysis

30

## Example

<u>Stack</u> ( $\rightarrow$ )	<u>Input</u>	<u>Action</u>
\$	abcde\$	shift
\$a	bcde\$	shift
\$ab	bcde\$	reduce: $A \rightarrow b$
\$aA	bcde\$	shift
\$aAb	cde\$	shift
\$aAbc	de\$	reduce: $A \rightarrow Abc$
\$aA	de\$	shift
\$aAd	e\$	reduce: $B \rightarrow d$
\$aAB	e\$	shift
\$aABe	\$	reduce: $S \rightarrow aABe$
\$S	\$	accept

Grammar :

$S \rightarrow aABe$

$A \rightarrow Abc \mid b$

$B \rightarrow d$

CSc 453: Syntax Analysis

31

## Conflicts

- Can't decide whether to shift or to reduce — both seem OK (“*shift-reduce conflict*”).

Example:  $S \rightarrow \text{if } E \text{ then } S \mid \text{if } E \text{ then } S \text{ else } S \mid \dots$

- Can't decide which production to reduce with — several may fit (“*reduce-reduce conflict*”).

Example:  $\text{Stmt} \rightarrow \text{id} ( \text{args} ) \mid \text{Expr}$

$\text{Expr} \rightarrow \text{id} ( \text{args} )$

CSc 453: Syntax Analysis

32



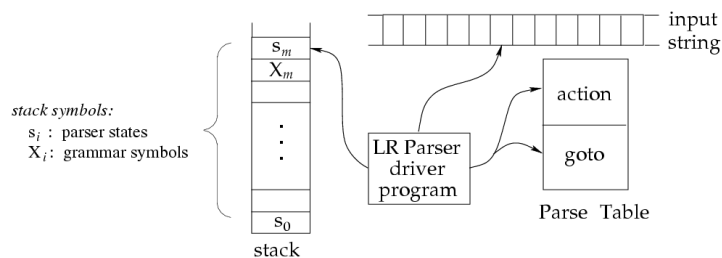
## LR Parsing

- A kind of shift-reduce parsing. An LR( $k$ ) parser:
  - scans the input L-to-R;
  - produces a Rightmost derivation (in reverse); and
  - uses  $k$  tokens of lookahead.
- Advantages:
  - very general and flexible, and handles a wide class of grammars;
  - efficiently implementable.
- Disadvantages:
  - difficult to implement by hand (use tools such as **yacc** or **bison**).

CSc 453: Syntax Analysis

33

## LR Parsing: Schematic



- The driver program is the same for all LR parsers (SLR(1), LALR(1), LR(1), ...). Only the parse table changes.
- Different LR parsing algorithms involve different tradeoffs between parsing power, parse table size.

CSc 453: Syntax Analysis

34

## LR Parsing: the parser stack

---

- The parser stack holds strings of the form
$$s_0 X_1 s_1 X_2 s_2 \dots X_m s_m \quad (s_m \text{ is on top})$$
where  $s_i$  are parser states and  $X_i$  are grammar symbols.  
(Note: the  $X_i$  and  $s_i$  always come in pairs, with the state component  $s_i$  on top.)
- A parser configuration is a pair  
⟨stack contents, unexpanded input⟩

## LR Parsing: Roadmap

---

- LR parsing algorithm:
  - parse table structure
  - parsing actions
- Parse table construction:
  - viable prefix automaton
  - parse table construction from this automaton
  - improving parsing power: different LR parsing algorithms

## LR Parse Tables

---

- The parse table has two parts: the **action** function and the **goto** function.
- At each point, the parser's next move is given by **action** $[s_m, a_i]$ , where:
  - $s_m$  is the state on top of the parser stack, and
  - $a_i$  the next input token.
- The **goto** function is used only during *reduce* moves.

## LR Parser Actions: shift

---

- Suppose:
  - the parser configuration is  $\langle s_0 X_1 s_1 \dots X_m s_m, a_i \dots a_n \rangle$ , and
  - **action** $[s_m, a_i] = \text{'shift } s_n \text{'}$ .
- Effects of shift move:
  1. push the next input symbol  $a_i$ ; and
  2. push the state  $s_n$
- New configuration:  $\langle s_0 X_1 s_1 \dots X_m s_m a_i s_n, a_{i+1} \dots a_n \rangle$

## LR Parser Actions: reduce

- Suppose:
  - the parser configuration is  $\langle s_0 X_1 s_1 \dots X_m s_m, a_i \dots a_n \rangle$ , and
  - **action** $[s_m, a_i] = \text{'reduce } A \rightarrow \beta\text{'}$ .
- Effects of reduce move:
  1. pop  $n$  states and  $n$  grammar symbols off the stack ( $2n$  symbols total), where  $n = |\beta|$ .
  2. suppose the (newly uncovered) state on top of the stack is  $t$ , and **goto** $[t, A] = u$ .
  3. push  $A$ , then  $u$ .
- New configuration:  $\langle s_0 X_1 s_1 \dots X_{m-n} s_{m-n} A u, a_i \dots a_n \rangle$

## LR Parsing Algorithm

1. set  $ip$  to the start of the input string  $w\$$ .
2. **while** TRUE **do**:
  1. let  $s$  = state on top of parser stack,  $a$  = input symbol pointed at by  $ip$ .
  2. if **action** $[s, a] == \text{'shift } t\text{'}$  then: (i) push the input symbol  $a$  on the stack, then the state  $t$ ; (ii) advance  $ip$ .
  3. if **action** $[s, a] == \text{'reduce } A \rightarrow \beta\text{'}$  then: (i) pop  $2 \cdot |\beta|$  symbols off the stack; (ii) suppose  $t$  is the state that now gets uncovered on the stack; (iii) push the LHS grammar symbol  $A$  and the state  $u = \text{goto}[A, t]$ .
  4. if **action** $[s, a] == \text{'accept'}$  then accept;
  5. else signal a syntax error.

## LR parsing: Viable Prefixes

- **Goal:** to be able to identify handles, and so produce a rightmost derivation in reverse.
- Given a configuration  $\langle s_0 X_1 s_1 \dots X_m s_m, \mathbf{a}_i \dots \mathbf{a}_n \rangle$ :
  - $X_1 X_2 \dots X_m \mathbf{a}_i \dots \mathbf{a}_n$  is obtainable on a rightmost derivation.
  - $X_1 X_2 \dots X_m$  is called a *viable prefix*.
- The set of viable prefixes of a grammar are recognizable using a finite automaton. This automaton is used to recognize handles.

## Viable Prefix Automata

- An LR(0) item of a grammar G is a production of G with a dot “•” somewhere in the RHS.
  - Example: The rule  $A \rightarrow \mathbf{a} A \mathbf{b}$  gives these LR(0) items:
    - $A \rightarrow \bullet \mathbf{a} A \mathbf{b}$
    - $A \rightarrow \mathbf{a} \bullet A \mathbf{b}$
    - $A \rightarrow \mathbf{a} A \bullet \mathbf{b}$
    - $A \rightarrow \mathbf{a} A \mathbf{b} \bullet$
- Intuition: ‘ $A \rightarrow \alpha \bullet \beta$ ’ denotes that:
  - we’ve seen something derivable from  $\alpha$ ; and
  - it would be legal to see something derivable from  $\beta$  at this point.

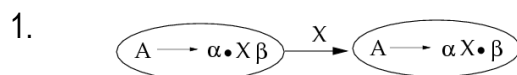
## Overall Approach

Given a grammar  $G$  with start symbol  $S$ :

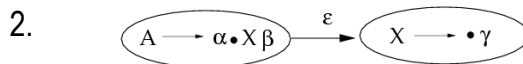
- Construct the augmented grammar by adding a new start symbol  $S'$  and a new production  $S' \rightarrow S$ .
- Construct a finite state automaton whose start state is labeled by the LR(0) item  $S' \rightarrow \bullet S$ .
- Use this automaton to construct the parsing table.

## Viability Prefix NFA for LR(0) items

- Each state is labeled by an LR(0) item. The initial state is labeled  $S' \rightarrow \bullet S$ .
- Transitions:



where  $X$  is a terminal or nonterminal.



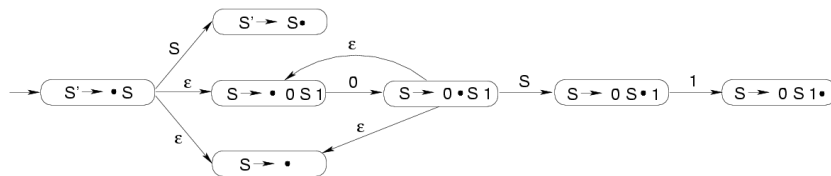
where  $X$  is a nonterminal, and  $X \rightarrow \gamma$  is a production.

## Viable Prefix NFA: Example

Grammar :

$S \rightarrow 0 S 1$

$S \rightarrow \epsilon$



CSc 453: Syntax Analysis

45

## Viable Prefix NFA $\Rightarrow$ DFA

- Given a set of LR(0) items  $I$ , the set closure( $I$ ) is constructed as follows:
 

**repeat**

  - add every item in  $I$  to closure( $I$ );
  - if  $A \rightarrow \alpha \bullet B\beta \in \text{closure}(I)$  and  $B$  is a nonterminal, then for each production  $B \rightarrow \gamma$ , add the item  $B \rightarrow \bullet \gamma$  to closure( $I$ ).

**until** no new items can be added to closure( $I$ ).
- Intuition:**

$A \rightarrow \alpha \bullet B\beta \in \text{closure}(I)$  means something derivable from  $B\beta$  is legal at this point. This means that something derivable from  $B$  (and thus  $\gamma$ ) is also legal.

CSc 453: Syntax Analysis

46

## Viable Prefix NFA $\Rightarrow$ DFA (cont'd)

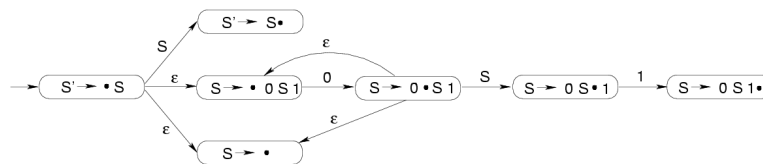
- Given a set of LR(0) items  $I$ , the set  $\text{goto}(I, X)$  is defined as

$$\text{goto}(I, X) = \text{closure}(\{ A \rightarrow \alpha X \bullet \beta \mid A \rightarrow \alpha \bullet X \beta \in I \})$$

- Intuition:**

- if  $A \rightarrow \alpha \bullet X \beta \in I$  then (a) we've seen something derivable from  $\alpha$ ; and (b) something derivable from  $X\beta$  would be legal at this point.
- Suppose we now see something derivable from  $X$ .  
The parser should "go to" a state where (a) we've seen something derivable from  $\alpha X$ ; and (b) something derivable from  $\beta$  would be legal.

## Example



- Let  $I_0 = \{ S' \rightarrow \bullet S \}$ .
- $I_1 = \text{closure}(I_0) = \{ S' \rightarrow \bullet S, S \rightarrow \bullet 0 S 1, S \rightarrow \bullet \}$  /\* from  $I_0$  \*/
- $\text{goto}(I_1, 0) = \text{closure}(\{ S \rightarrow 0 \bullet S 1 \})$   
 $= \{ S \rightarrow 0 \bullet S 1, S \rightarrow \bullet 0 S 1, S \rightarrow \bullet \}$



## Viable Prefix DFA for LR(0) Items

1. Given a grammar  $G$  with start symbol  $S$ , construct the augmented grammar with new start symbol  $S'$  and new production  $S' \rightarrow S$ .
2.  $C = \{ \text{closure}(\{ S' \rightarrow \bullet S \}) \}$ ; //  $C =$  a set of sets of items = set of parser states
3. **repeat** {  
    **for** each set of items  $I \in C$  {  
        **for** each grammar symbol  $X$  {  
            **if** (  $\text{goto}(I, X) \neq \emptyset$  &&  $\text{goto}(I, X) \notin C$  ) { // new state  
                add  $\text{goto}(I, X)$  to  $C$ ;  
            }  
        }  
    }  
    **until** no change to  $C$ ;
4. **return**  $C$ .

CSc 453: Syntax Analysis

49

## SLR(1) Parse Table Construction I

Given a grammar  $G$  with start symbol  $S$ :

- Construct the augmented grammar  $G'$  with start symbol  $S'$ .
- Construct the set of states  $\{I_0, I_1, \dots, I_n\}$  for the Viable Prefix DFA for the augmented grammar  $G'$ .
- Each DFA state  $I_i$  corresponds to a parser state  $s_i$ .
- The initial parser state  $s_0$  corresponds to the DFA state  $I_0$  obtained from the item  $S' \rightarrow \bullet S$ .
- The parser actions in state  $s_i$  are defined by the items in the DFA state  $I_i$ .

CSc 453: Syntax Analysis

50

## SLR(1) Parse Table Construction II

Parsing action for parser state  $s_i$ :

- action table entries:
  - if DFA state  $I_i$  contains an item  $A \rightarrow \alpha \bullet a \beta$  where  $a$  is a terminal, and  $goto(I_i, a) = I_j$ : set **action**[ $i, a$ ] = *shift j*.
  - if DFA state  $I_i$  contains an item  $A \rightarrow \alpha \bullet$ , where  $A \neq S'$ : for each  $b \in FOLLOW(A)$ , set **action**[ $i, b$ ] = *reduce  $A \rightarrow \alpha$* .
  - if state  $I_i$  contains the item  $S' \rightarrow S \bullet$ : set **action**[ $i, \$$ ] = *accept*.
- goto table entries:
  - for each nonterminal  $A$ , if  $goto(I_i, A) = I_j$ , then **goto**[ $i, A$ ] =  $j$ .
- any entry not defined by these steps is an error state.
- *if any state has multiple entries, the grammar is not SLR(1).*

CSc 453: Syntax Analysis

51

## SLR(1) Shortcomings

- SLR(1) parsing uses *reduce* actions too liberally. Because of this it fails on many reasonable grammars.
- Example (*simple pointer assignments*):
  - $S \rightarrow R \mid L = R$
  - $L \rightarrow *R \mid id$
  - $R \rightarrow L$The SLR parse table has a state  $\{ S \rightarrow L \bullet = R, R \rightarrow L \bullet \}$ , and  $FOLLOW(L) = \{ =, \$ \}$ .  
 $\Rightarrow$  *shift-reduce conflict*.

CSc 453: Syntax Analysis

52

## Improving LR Parsing

---

- SLR(1) parsing weaknesses can be addressed by incorporating *lookahead* into the LR items in parser states.

The lookahead makes it possible to remove some “spurious” reduce actions in the parse table.

*The LALR(1) parsers produced by **bison** and **yacc** incorporate such lookahead items.*

- This improves parsing power, but at the cost of larger parse tables.

## Error Handling

---

Possible reactions to lexical and syntax errors:

- *ignore the error.* Unacceptable!
- *crash, or quit, on first error.* Unacceptable!
- *continue to process the input.* No code generation.
- *attempt to repair the error.* transform an erroneous program into a similar but legal input.
- *attempt to correct the error.* try to guess what the programmer meant. Not worthwhile.

## Error Reporting

---

- Error messages should refer to the source program.  
prefer “line 11: X redefined” to “conflict in hash bucket 53”
- Error messages should, as far as possible, indicate the location and nature of the error.  
avoid “syntax error” or “illegal character”
- Error messages should be specific.  
prefer “x not declared in function foo” to “missing declaration”
- They should not be redundant.

## Error Recovery

---

- Lexical errors: pass the illegal character to the parser and let it deal with the error.
- Syntax errors: “panic mode error recovery”
  - **Essential idea**: skip part of the input and pretend as though we saw something legal, then hope to be able to continue.
  - Pop the stack until we find a state  $s$  such that  $\text{goto}[s,A]$  is defined for some nonterminal  $A$ .
  - discard input tokens until we find some token  $a$  that can legitimately follow  $A$  (i.e.,  $a \in \text{FOLLOW}(A)$ ).
  - push the state  $\text{goto}[s,A]$  and continue parsing.