Tutte Embedding: How to Draw a Graph

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Math 543 Fall 2008

THE UNIVERSITY OF ARIZONA.

Outline

- Problem definition & Background
- Barycentric coordinates & Definitions
- Tutte embedding motivation
- Barycentric Map Construction
 - Worked example
 - The linear system
- Drawbacks

Problem Definition

Graph Drawing:
 Given a graph G = (V, E) we seek an injective map (embedding)

 $f: V(G) \longrightarrow Space$

such that G's connectivity is preserved.

For this discussion:

- Space is \mathbb{R}^2 .
- Edges are straight line segments.

Background

- Early graph drawing algorithms:
 - P. Eades (1984)
 - T. Kamada & S. Kawai (1988)
 - T. Fruchterman & E. Reingold (1991)
- These algorithms are force-directed methods. (a.k.a. spring embedders)
 - Vertices: steel rings
 - Edges: springs
 - Attractive/repulsive forces exist between vertices.
 - System reaches equilibrium at minimum energy.

Background: Tutte Embedding

- William Thomas Tutte (May 14, 1917 – May 2, 2002) was a British, later Canadian, mathematician and codebreaker.
- Tutte devised the first known algorithmic treatment (1963) for producing drawings for 3-connected planar graphs.



William T. Tutte.

- Tutte constructed an embedding using barycentric mappings.
- The result is guaranteed to be a plane drawing of the graph.

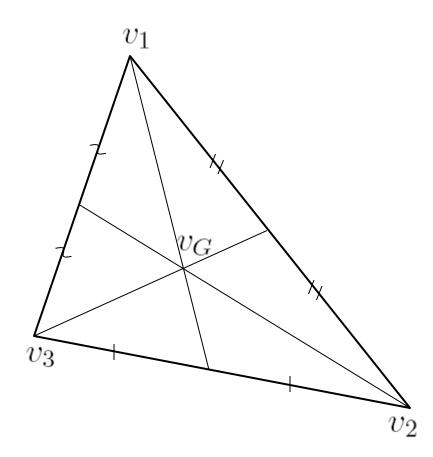
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Overview of barycentric coordinates

- Special kind of local coordinates
- Express location of point w.r.t. a given triangle.
- Developed by Möbius in the 19th century.
- Wachspress extended them to arbitrary convex polygons (1975).
- Introduced to computer graphics by Alfeld et al. (1996)

Why barycentric?



- v_G is the point where the medians are concurrent.
- v_G is called the barycenter or centroid and in physics it represents the center of mass.
- If $v_G, v_1, v_2, v_3 \in \mathbb{R}^2$ then v_G can be easily calculated as:

$$v_G = \frac{1}{3} \cdot (v_1 + v_2 + v_3)$$

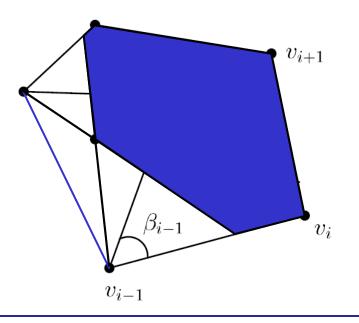
We want to extend this so that we can express every point \mathbf{v} in terms of the vertices of a polygon v_1, v_2, \ldots, v_k

Convex Combinations

• If P is a polygon with vertices $v_1, v_2, \ldots, v_k \in \mathbb{R}^2$ then we wish to find coordinates $\lambda_1, \lambda_2, \ldots, \lambda_k \in \mathbb{R}$ such that for $v_0 \in ker(P)$

$$\sum_{i=1}^k \lambda_i v_i = v_0$$

• Note that if $\forall i \ \lambda_i > 0$ then v_0 lies inside the convex hull.



Useful definitions

• We say that a representation of *G* is barycentric relative to a subset *J* of *V*(*G*) if for each *v* not in *J* the coordinates *f*(*v*) constitute the barycenter of the images of the neighbors of *v*.

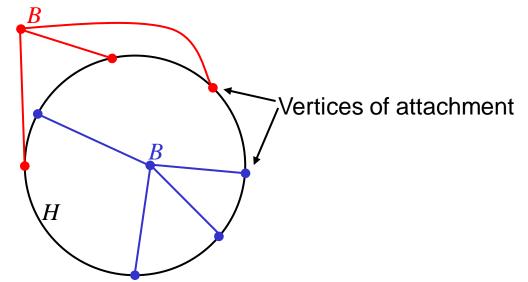
where $f: V(G) \to \mathbb{R}^2$

k-connected graph: If G is connected and not a complete graph, its vertex connectivity κ(G) is the size of the smallest separating set in G. We say that G is k-connected if κ(G) ≥ k.

e.g. The minimum cardinality of the separating set of a 3-connected graph is 3.

Useful definitions(2)

- Given $H \leq_S G$, define relation ~ on E(G)-E(H): $e \sim e_0$ if \exists walk w starting with e, ending with e_0 , s.t. no internal vertex of w is in H.
- Bridge: a subgraph *B* of G-E(H) if it is induced by ~.
- A peripheral polygon: A polygonal face P of G is called peripheral if P has at most 1 bridge in G.



Outline

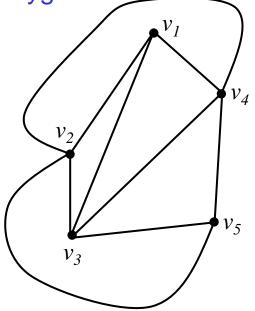
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Tutte embedding motivation

- The idea is that if we can identify a peripheral *P* then its bridge *B* (if is exists) always avoids "all other bridges"... (True—there aren't any others!)
- This means the bridge is transferable to the interior region and hence *P* can act as the fixed external boundary of the drawing.
- All that remains then is the placement of the vertices in the interior.

Tutte embedding motivation(2)

- **Theorem**: If *M* is a planar mesh of a nodally 3-connected graph *G* then each member of *M* is peripheral.
- In other words, Tutte proved that any face of a 3-connected planar graph is a peripheral polygon.



• This implies that when creating the embedding we can pick any face and make it the outer face (convex hull) of the drawing.

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Barycentric mapping construction

- Steps:
 - 1. Let *J* be a peripheral polygon of a 3-connected graph *G* with no Kuratowski subgraphs ($K_{3,3}$ and K_5).
 - 2. We denote the set of nodes of *G* in *J* by V(J), and |V(J)| = n. Suppose there are at least 3 nodes of *G* in the vertex set of *J*.
 - 3. Let *Q* be a geometrical *n*-sided convex polygon in Euclidean plane.
 - 4. Let *f* be a 1-1 mapping of *V*(*J*) onto the set of vertices of *Q* s.t. the cyclic order of nodes in *J* agrees, under *f*, with the cyclic order of vertices of *Q*.
 - 5. We write m = |V(G)| and enumerate the vertices of *G* as v_1 , v_2 , v_3 , ..., v_m so the first *n* are the nodes of *G* in *J*.
 - 6. We extend *f* to the other vertices of *G* by the following rule. If $n < i \le m$ let N(i) be the set of all vertices of *G* adjacent to v_i

Barycentric mapping construction(2)

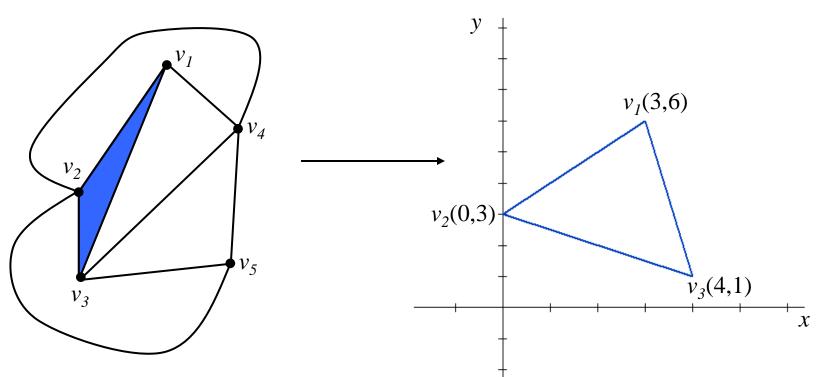
- 6. For each v_i in N(i) let a unit mass m_j to be placed at the point $f(v_i)$. Then $f(v_i)$ is required to be the centroid of the masses m_j .
- 7. To investigate this requirement set up a system of Cartesian coordinates, denoting the coordinates of $f(v_i)$, $1 \le i \le m$, by (v_{ix}, v_{iy}) .
- 8. Define a matrix $K(G) = \{C_{ij}\}, 1 \le (i,j) \le m$, as follows.
 - If $i \neq j$ then C_{ij} = -(number of edges joining v_i and v_j)
 - If i = j then $C_{ij} = deg(v_i)$
- 9. Then the barycentric requirement specifies coordinates v_{ix} , v_{iy} for $n < j \le m$ as the solutions to the two linear systems

$$\sum_{j=1}^{m} C_{ij} v_{ix} = 0 \qquad \sum_{j=1}^{m} C_{ij} v_{iy} = 0$$

where $n < i \le m$. For $1 \le j \le n$ the coordinates are already known.

Example

G:



G is 3-connected with unique cut set $\{v_2, v_3, v_4\}$

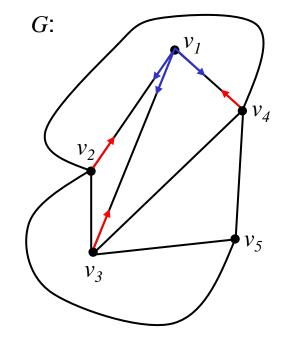
Consider the peripheral cycle *J*, $V(J) = \{v_1, v_2, v_3\}$

Example₍₂₎

•
$$V(J) = \{v_1, v_2, v_3\}$$

- $N(4) = \{v_1, v_2, v_3, v_5\}$
- $N(5) = \{v_2, v_3, v_4\}$

•
$$K(G) = \begin{pmatrix} 3 & -1 & -1 & -1 & 0 \\ -1 & 4 & -1 & -1 & -1 \\ -1 & -1 & 4 & -1 & -1 \\ -1 & -1 & -1 & 4 & -1 \\ 0 & -1 & -1 & -1 & 3 \end{pmatrix}$$



• Form the 2 linear systems for i = 4, 5.

Example₍₃₎

• The linear systems

$$C_{41}v_{1x} + C_{42}v_{2x} + C_{43}v_{3x} + C_{44}v_{4x} + C_{45}v_{5x} = 0 \longrightarrow 4v_{4x} - 7 = v_{5x}$$

$$C_{51}v_{1x} + C_{52}v_{2x} + C_{53}v_{3x} + C_{54}v_{4x} + C_{55}v_{5x} = 0 \longrightarrow -v_{4x} + 3v_{5x} = 4$$

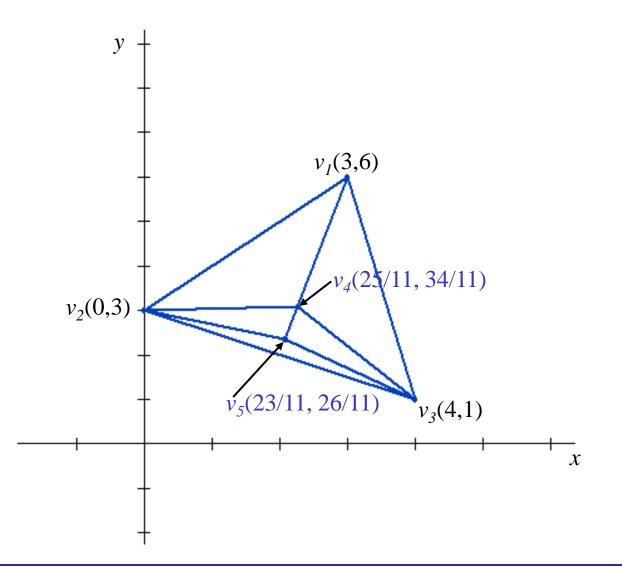
$$C_{41}v_{1y} + C_{42}v_{2y} + C_{43}v_{3y} + C_{44}v_{4y} + C_{45}v_{5y} = 0 \longrightarrow \qquad 4v_{4y} - v_{5y} = 10$$

$$C_{51}v_{1y} + C_{52}v_{2y} + C_{53}v_{3y} + C_{54}v_{4y} + C_{55}v_{5y} = 0 \longrightarrow \qquad -v_{4y} + 3v_{5y} = 4$$

Solutions

 $v_4(25/11, 34/11)$ $v_5(23/11, 26/11)$

Example: Tutte embedding



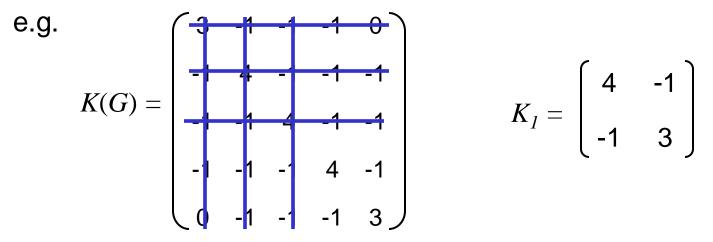
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The linear system

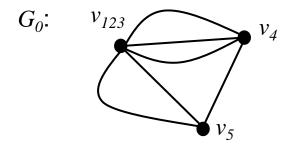
- Is the linear system always consistent?
- Yes, it is!
- Proof:
 - Recall matrix K(G). It was defined as $K(G) = \{C_{ij}\}, 1 \le (i,j) \le m$. - If $i \ne j$ then $C_{ij} = -($ number of edges joining v_i and v_j) - If i = j then $C_{ii} = deg(v_i)$
 - Observe that this means we can write K(G) as K(G) = -A+D
 - where A is the adjacency matrix of G and
 - *D* is diagonal matrix of vertex degrees.
 - But that's the Laplacian of G! i.e., K = -L.

The linear system(2)

- Let K_1 be the matrix obtained from K(G) by striking out the first n rows and columns.



- Let G_0 be the graph obtained from G by contracting all the edges of J while maintaining the degrees.



The linear system(3)

For a suitable enumeration of $V(G_0)$, K_1 is obtained from $K(G_0)$ by striking out the first row and column.

$$-L(G_0) = K(G_0) = \begin{pmatrix} 5 & -3 & -2 \\ -3 & 4 & -1 \\ -2 & -1 & 3 \end{pmatrix} \qquad G_0: \quad v_{123} \qquad \qquad v_4$$

That is, $K_1 = -\hat{L}_{11}$. But then the $det(K_1) = det(-\hat{L}_{11}) = t(G)$ is the number of spanning trees of G_0 .

$$det(\hat{-L}_{11}) = \begin{vmatrix} 4 & -1 \\ -1 & 3 \end{vmatrix} = 11$$

The linear system(4)

- The number t(G) is non-zero since G_0 is connected.
 - Edge contraction preserves connectedness.

- This implies that $det(K_1) \neq 0$ and the hence the linear systems always have a unique solution.

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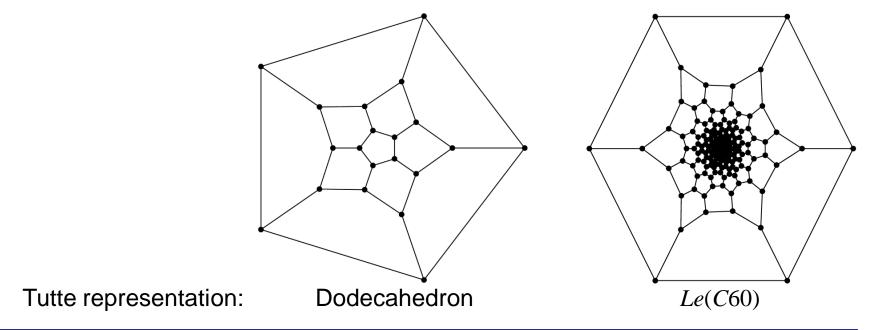
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Drawbacks of Tutte Embedding

Only applies to 3-connected planar graphs.

Works only for small graphs (|V| < 100).

The resulting drawing is not always "aesthetically pleasing."



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 Alen Orbanić, Tomaž Pisanski, Marko Boben, and Ante Graovac.
 "Drawing methods for 3-connected planar graphs." Math/Chem/Comp 2002, Croatia 2002.

• William T. Tutte. "How to draw a graph." *Proc. London Math. Society*, 13(52):743–768, 1963.

Thank you! Questions?